

**Chapter 2: The Introduction and Applicability Section, Preamble, and General Principles: What Do They mean?**

***Multiple Choice***

1. The Ethics Code contains:

- a. a Preamble and General Standards
- b. an Introduction and Applicability Section and General Standards
- c. an Introduction and Applicability Section, Preamble, and General Standards and enforceable standards
- d. a Preamble, General Standards, and enforceable standards

Ans: C

Cognitive Domain: Knowledge

Answer Location: The Introduction to the Applicability Section, Preamble, and General Principles

Question Type: MC

2. The Ethics Code always applies to:

- a. APA members and student affiliate members
- b. APA members
- c. APA members, student affiliates, and faculty
- d. APA members, student affiliates, faculty, and psychology students

Ans: A

Cognitive Domain: Comprehension

Answer Location: To Whom Does the Ethics Code Apply?

Question Type: MC

3. Dr. L, an APA member, received notice from the APA Ethics Code that an ethics charge has been made against her. Dr. L responded to the committee by stating that she has not read the Ethics Code, so was unaware that her activity was unethical. Dr. L may:

- a. use this as a defense to the charge of unethical behavior
- b. not use this as a defense to the charge of unethical behavior
- c. use this as an excuse for her unethical behavior
- d. not use this as an excuse for her unethical behavior

Ans: B

Cognitive Domain: Knowledge

Answer Location: To What Does the Ethics Code Apply?

Question Type: MC

4. Dr. M had his driver's license revoked due to excessive use of his cell phone while driving. If this action is reported to the Ethics Committee, they will:

- a. revoke Dr. M's psychology license
- b. sanction Dr. M
- c. do nothing to Dr. M
- d. reprimand Dr. M

Ans: C

Cognitive Domain: Application

Answer Location: To What Does the Ethics Code Apply

Question Type: MC

5. To engage in ethical behavior, a psychologist needs to:

- a. keep personal values and ethical standards separate

- b. replace personal values with ethical standards
- c. keep moral values and ethical standards separate
- d. integrate moral and personal values with ethical standards

Ans: D

Cognitive Domain: Analysis

Answer Location: Professional versus Personal Values

Question Type: MC

6. The language of the Ethics Code needs to have \_\_\_\_\_, be \_\_\_\_\_ across roles and responsibilities of psychologists, and to \_\_\_\_\_ good practice.

- a. clarity, applicable, enhance
- b. clarity, enhance, applicable
- c. specificity, applicable, enhance
- d. specificity, enhance, applicable

Ans: A

Cognitive Domain: Knowledge

Answer Location: What is the Relevance of Specific Language Used in the Ethics Code?

Question Type: MC

7. Some generally accepted ethical values were not included in the ethics code because:

- a. the language of the values were too specific
- b. the language of the values do not provide due notice of unethical behavior
- c. the language of the values were too demeaning
- d. the language of the values did not apply to unethical behavior

Ans: B

Cognitive Domain: Analysis

Answer Location: Applicability Across Diverse Roles and Contexts

Question Type: MC

8. A modifier is a \_\_\_\_\_ or \_\_\_\_\_ that qualifies the meaning of an ethical rule.

- a. sentence, explanation
- b. sentence, phrase
- c. word, phrase
- d. word, sentence

Ans: C

Cognitive Domain: Knowledge

Answer Location: The Use of Modifiers

Question Type: MC

9. All of the following are modifiers used in the Ethics Code, except:

- a. appropriate
- b. potentially
- c. attempt to
- d. despite

Ans: D

Cognitive Domain: Knowledge

Answer Location: The Use of Modifiers

Question Type: MC

10. Dr. C committed an ethical violation that is not of the kind likely to cause harm to another person. He may receive which of the following from the Ethics Committee:

- a. Censure
- b. Reprimand
- c. Expulsion
- d. Stipulated Resignation

Ans: B

Cognitive Domain: Application

Answer Location: Sanctions

Question Type: MC

11. The Ethics Committee may issue a \_\_\_\_\_ if the violation was of a kind likely to cause harm to another person, but not likely to cause substantial harm to another person or to the profession and was not otherwise of sufficient gravity as to warrant or more severe sanction.

- a. reprimand
- b. censure
- c. expulsion
- d. stipulated resignation

Ans: B

Cognitive Domain: Knowledge

Answer Location: Sanctions

Question Type: MC

12. The Ethics Committee may also issue all the following directives, except:

- a. to cease and desist from an activity
- b. to obtain supervision
- c. to seek additional training
- d. to take specific medication

Ans: D

Cognitive Domain: Comprehension

Answer Location: Sanctions

Question Type: MC

13. The following parts of the APA Ethics Code may be used by other bodies regulating the ethical science and practice of psychology:

- a. the General Principles
- b. the Ethical Standards
- c. the Ethical Standards and the General Principles
- d. none

Ans: B

Cognitive Domain: Comprehension

Answer Location: How is the Ethics Code Related to Sanctions by Other Bodies?

Question Type: MC

14. Dr. S has just received notification that a charge of unethical behavior has been made against her. She will be in violation of the ethics code if she:

- a. writes a letter to the Ethics Committee
- b. asks the Ethics Committee to stay the ethics process
- c. seeks advice from an attorney
- d. fails to respond to the Ethics Committee

Ans: D

Cognitive Domain: Application

Answer Location: Ethics Complaints

Question Type: MC

15. Koocher & Keith-Spiegel (2013) advise psychologists handle complaints in the following manner, except:

- a. to gather facts to determine the nature of the complaint
- b. to contact the complainant directly
- c. to respond personally to an inquiry
- d. to understand the consequences of any offered settlement

Ans: B

Cognitive Domain: Application

Answer Location: Need to Know: What to do when you receive an ethics complaint

Question Type: MC

16. Dr. Z has been convicted of criminal activity. He has violated which of the following specific Ethical Standards?

- a. Standard 3.01, Unfair Discrimination
- b. Standard 3.10b, Informed Consent
- c. None
- d. Standard 9.11, Maintaining Test Security

Ans: C

Cognitive Domain: Analysis

Answer Location: Compliance with the Law

Question Type: MC

17. All of the following require compliance with the law, except:

- a. Standard 3.10b, Informed Consent
- b. Standard 2.01, Competence
- c. Standard 6.04a, Fees and Financial Arrangements
- d. Standard 6.01, Documentation

Ans: B

Cognitive Domain: Analysis

Answer Location: Compliance with the Law

Question Type: MC

18. The five General Principles are \_\_\_\_\_, intended to inspire psychologists toward the highest ethical ideals of the profession.

- a. visionary
- b. specific
- c. aspirational
- d. enforceable

Ans: C

Cognitive Domain: Knowledge

Answer Location: General Principles

Question Type: MC

19. Principle A reflects:

- a. beneficence and nonmaleficence
- b. integrity
- c. justice
- d. fidelity and responsibility

Ans: A

Cognitive Domain: Knowledge

Answer Location: Principle A: Beneficence and Nonmaleficence

Question Type: MC

20. Dr. P recognizes his responsibility to obtain and maintain high standards of competence in his work.

He is exhibiting which General Principle:

- a. beneficence and nonmaleficence
- b. fidelity and responsibility
- c. justice
- d. integrity

Ans: B

Cognitive Domain: Comprehension

Answer Location: Principle B: Fidelity and Responsibility

Question Type: MC

21. Dr. R has a new client for which he has selected procedures and services intended to meet the needs of that client. Dr. R is exhibiting which General Principle:

- a. beneficence and nonmaleficence
- b. fidelity and responsibility
- c. integrity
- d. justice

Ans: D

Cognitive Domain: Comprehension

Answer Location: Principle D: Justice

Question Type: MC

22. Psychologists are required to obtain informed consent when engaging in research, assessment, and therapy. Obtaining informed consent reflects which General Principle:

- a. Principle E
- b. Principle C
- c. Principle B
- d. Principle A

Ans: A

Cognitive Domain: Application

Answer Location: Principle E: Respect for People's Rights and Dignity

Question Type: MC

23. \_\_\_\_\_ reflects faithfulness of one human being to another.

- a. Trust
- b. Fidelity
- c. Honesty
- d. Openness

Ans: B

Cognitive Domain: Knowledge

Answer Location: Principle B: Fidelity and Responsibility

Question Type: MC

24. Psychologists strive to do good and avoid doing harm. This sentiment is best expressed in which of the General Principle:

- a. Principle D
- b. Principle B
- c. Principle A

d. Principle C

Ans: C

Cognitive Domain: Application

Answer Location: Principle A: Beneficence and Nonmaleficence

Question Type: MC

25. Dr. O conducts research at a major university. His latest project required some degree of deception. Dr. O made sure that his research protocol was well within the guidelines of Standard 8.07, Deception in Research. Which General Principle is Dr. O exhibiting?

a. Justice

b. Integrity

c. Respect for People's Rights and Dignity

d. Fidelity and Responsibility

Ans: B

Cognitive Domain: Application

Answer Location: Principle C: Integrity

Question Type: MC

## ***True/False***

1. The 2010 Ethics Code contains a Preamble and a set of five General Principles.

Ans: True

Cognitive Domain: Knowledge

Answer Location: Chapter Introduction

Question Type: TF

2. The Ethics Code applies only to full members.

Ans: False

Cognitive Domain: Comprehension

Answer Location: To Whom Does the Ethics Code Apply?

Question Type: TF

3. The Ethics Code applies to psychologists' scientific, educational, professional, or consulting activities?

Ans: True

Cognitive Domain: Knowledge

Answer Location: Professional versus Personal Activities

Question Type: TF

4. The Ethics Code applies to professional, as well as purely private conduct of psychologists.

Ans: False

Cognitive Domain: Knowledge

Answer Location: Professional versus Personal Activities

Question Type: TF

5. The language of the Ethics Code is general enough to allow for proper guidance.

Ans: False

Cognitive Domain: Comprehension

Answer Location: What is the Relevance of Specific Language Used in the Ethics Code?

Question Type: TF

6. The term reasonable refers the prevailing professional judgments of psychologists engaged in similar activities.

Ans: True

Cognitive Domain: Knowledge

Answer Location: What is Reasonable?

Question Type: TF

7. Psychologists should only use the term “clients”, rather than “patients”.

Ans: False

Cognitive Domain: Comprehension

Answer Location: “Client/Patient” and “Organizational Client”

Question Type: TF

8. Complaints to the Ethics Committee may be brought by APA members, nonmembers, or by members of the Ethics Committee.

Ans: True

Cognitive Domain: Knowledge

Answer Location: Ethics Complaints

Question Type: TF

9. The APA Ethics Committee must keep confidential that it has imposed a sanction on a psychologist.

Ans: False

Cognitive Domain: Comprehension

Answer Location: How is the Ethics Code Related to Sanctions by Other Bodies?

Question Type: TF

10. Compliance with or violation of the Ethics Code may be admissible as evidence in some legal proceedings.

Ans: True

Cognitive Domain: Knowledge

Answer Location: Civil Litigation

Question Type: TF

## **Short Answer**

1. How can psychologists ensure an appropriate balance between professional and personal values?

Ans: Psychologists must integrate professional and scientific values with their preexisting moral values in ways that promote the adoption and internalization of unique ethical responsibilities and social roles expected of psychologists.

Cognitive Domain: Application

Answer Location: Professional versus Personal Values

Question Type: SA

## 2. What is the importance of “due notice”?

Ans: Adjudicatory decisions based on the ethics code remain vulnerable to overturn on appeal if defendants can argue that they had no warning that specific behaviors are ethical violations. This is the reason that the Ethics Code describes the behaviors that are required and those that are proscribed.

Cognitive Domain: Application

Answer Location: Due Notice

Question Type: SA

## 3. What are modifiers in the Ethics Code and why are they used?

Ans: A modifier is a word or phrase that qualifies the meaning of an ethical rule. They include such terms as appropriate, potentially, to the extent feasible, and attempt to. Their use is necessary to eliminate injustice or inequality that would occur without the modifier. Modifiers also guard against language that would create rigid rules that would be quickly outdated.

Cognitive Domain: Applicability

Answer Location: The Use of Modifiers

Question Type: SA

## 4. Describe the process that occurs when a charge of unethical behavior is made against a psychologist.

Ans: A complaint may be dismissed by the Ethics Committee if it does not meet jurisdictional criteria, or the Ethics Committee chair fail to find grounds for action. If the Ethics Committee does find jurisdiction and grounds for the complaint an investigation is started. The psychologist against whom the complaint is made receives a charge letter and is given an opportunity to provide the committee with comment and materials regarding the allegations.

Cognitive Domain: Analysis

Answer Location: Ethics Complaints

Question Type: SA

## 5. What does integrity in psychological activities require?

Ans: Maintaining integrity in psychological activities requires honest communication, truth telling, promise keeping, and accuracy in the science, teaching, and practice of psychology. Psychologists do not steal, cheat, or engage in fraud or subterfuge. Further, psychologists do not misrepresent their work or misrepresent their research.

Cognitive Domain: Application

Answer Location: Principle C: Integrity

Question Type: SA

## Essay

1. Knapp, Handelsman, Gottlieb, and Vandecreek state that actions contrary to the Ethics Code may arise when psychologists apply their personal values to their professional activities. Describe how this may occur and what a psychologist can do to prevent this happening.

Ans: A good answer will include the following with examples:

- Some values that are helpful in personal relationships may do harm in the professional setting, using an example such as the one cited in the book
- On the other hand, setting aside personal values and morals, and a strict adherence to the ethical code may also produce harmful or unethical behavior, using an example such as to the one in the book
- Psychologists need to integrate their moral and personal values with the ethical standards.



Cognitive Domain: Analysis

Answer Location: Professional and Personal Values

Question Type: ESS

2. Discuss why the language of the Ethics Code is so important.

Ans: A good answer will include the following:

- To give due notice to psychologists of unethical behavior
- Must be worded broadly enough to ensure that the standards apply across a broad range of activities
- Must be provide role-specific standards
- Must not be inconsistent with the constantly changing realities of professional and legal responsibilities
- Must not worded to put an undue burden on psychologists working in another area
- Must not worded to prohibit ethical behavior

Cognitive Domain: Application

Answer Location: Applicability Across Diverse Roles and Contexts

Question Type: ESS

3. Describe the types of actions that the Ethics Committee may take against a psychologist who has engaged in unethical behavior. State when the actions may be used.

Ans: A good answer will include:

- The types of actions – reprimand, censure, expulsion and stipulated resignation
- Definition of when they would be used

Cognitive Domain: Application

Answer Location: Sanctions

Question Type: ESS

4. Describe the process that occurs when a charge of unethical behavior is made against a psychologist, including the sanctions that may occur, and the options available for the psychologist.

Ans: A good answer will include:

- What the Ethics Committee must consider when it receives a complaint, i.e. Jurisdiction, factual basis
- Case is opened
- Psychologist receives charge letter
- Psychologist is given opportunity to respond
- The types of sanctions or directives that may be imposed
- Notification of sanctions to other others

Cognitive Domain: Analysis

Answer Location: Ethical Complaints

Question Type: ESS

5. Describe each of the five General Principles giving examples of standards reflecting those principles.

Ans: A good answer will:

- Name each of the five general principles
- Define what they mean
- Provide examples of standards that reflect those principles

Cognitive Domain: Analysis

Answer Location: General Principles

Question Type: ESS